

15 request for refund

4/5/04

(tu)

Atty. Docket No. 13935-NN016

PATENT APPLICATIONIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	
Mohammad J. ARSHAD, ET AL.)	Examiner: Vernal Brown
	:	
Appln. No. 09/752,009)	Art Unit: 2635
	:	
Filing Date: December 28, 2000)	Confirm. No.: 9068
	:	
Title: TRANSPONDER COMMUN-)	April 5, 2004
ICATION AND CONTROL	:	
SYSTEM FOR A VEHICLE)	

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST FOR REFUND

Dear Sir:

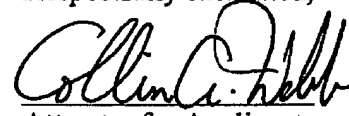
As shown by the attached Patent Application Fee Determination Record (Form PTO/SB/06), the September 4, 2003, Amendment resulted in 23 claims, of which nine (9) were independent—an increase of five (5) independent claims. However, Applicants' representative mistakenly paid \$504.00 by check, and that payment was the fee for an additional *six* (6) independent claims.

Therefore, Applicants request the difference of \$84.00 (the fee in fiscal-year 2003 for an additional independent claim) to be credited to Deposit Account No. 14-0780.

Applicants' undersigned attorney may be reached with any questions in our New Holland, Pennsylvania office by telephone at (717) 355-4954.

CNH America LLC
Intellectual Property Law Dept.
Mail Stop 641
500 Diller Avenue
P.O. Box 1895
New Holland, Pennsylvania 17557-0903

Respectfully submitted,



Attorney for Applicants
Collin A. Webb
Reg. No. 44,396

PATENT APPLICATION FEE DETERMINATION RECORD					Application or Docket 09/752,009	
Substitute for Form PTO-875						
CLAIMS AS FILED - PART I						
(Column 1)		(Column 2)			SMALL ENTITY OR OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA			RATE	FEE
					RATE	FEE
BASIC FEE (37 CFR 1.16(a))					\$	710
TOTAL CLAIMS (37 CFR 1.16(c))		23	minus 20 =	*	3	
INDEPENDENT CLAIMS (37 CFR 1.16(b))		4	minus 3 =	*	1	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))						
* If the difference in column 1 is less than zero, enter "0" in column 2						
TOTAL					\$844	
CLAIMS AS AMENDED - PART II						
(Column 1)		(Column 2)		(Column 3)		
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA			
	Total (37 CFR 1.16(c))	*	23	Minus	**	23
	Independent (37 CFR 1.16(b))	*	9	Minus	***	4
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					
(Column 1)		(Column 2)		(Column 3)		
Total (37 CFR 1.16(c))		*	23	Minus	**	23
Independent (37 CFR 1.16(b))		*	9	Minus	***	4
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						
* If the difference in column 1 is less than zero, enter "0" in column 2						
TOTAL					\$420	
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA			
	Total (37 CFR 1.16(c))	*	21	Minus	**	23
	Independent (37 CFR 1.16(b))	*	3	Minus	***	9
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					
(Column 1)		(Column 2)		(Column 3)		
Total (37 CFR 1.16(c))		*	21	Minus	**	23
Independent (37 CFR 1.16(b))		*	3	Minus	***	9
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						
* If the difference in column 1 is less than zero, enter "0" in column 2						
TOTAL					\$0	
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA			
	Total (37 CFR 1.16(c))	*		Minus	**	0
	Independent (37 CFR 1.16(b))	*		Minus	***	0
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))					
(Column 1)		(Column 2)		(Column 3)		
Total (37 CFR 1.16(c))		*		Minus	**	0
Independent (37 CFR 1.16(b))		*		Minus	***	0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						
* If the difference in column 1 is less than zero, enter "0" in column 2						
TOTAL					\$0	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
 ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/21 (08-03)

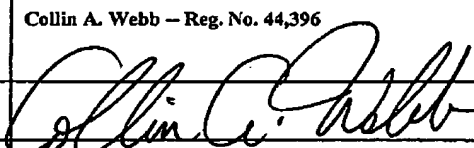
Approved for use through 07/31/2008. OMB 0851-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

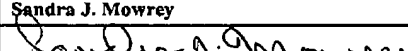
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/752,009	
	Filing Date	December 28, 2000	
	First Named Inventor	Mohammad J. Arshad	
	Art Unit	2635	
	Examiner Name	Vernal Brown	
Total Number of Pages in This Submission	18	Attorney Docket Number	13935-NN016

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	RCE; Request for Refund; and Request for Consideration of Previously Filed IDS
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Sent Via Facsimile to (703) 872-9306	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Time Sent: 1:07 P.M.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Collin A. Webb - Reg. No. 44,396
Signature	
Date	April 5, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Sandra J. Mowrey	Date	April 5, 2004
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 07/31/2006. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **\$880.00**

Complete if Known

Application Number **09/752,009**
 Filing Date **December 28, 2000**
 First Named Inventor **Mohammad J. ARSHAD, ET AL.**
 Examiner Name **Vernal Brown**
 Art Unit **2635**
 Attorney Docket No. **13935-NN016**

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number **14-0780**
 Deposit Account Name **New Holland North America, Inc.**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1)			

2. EXTRA CLAIM FEES FOR UTILITY AND

Total Claims	Extra Claims	Fee from below	Fee Paid
0	-20** = 0	X	0.00
0	-3** = 0	X	0.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1201 18	2202 9	Claims in excess of 20	
1202 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

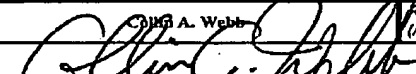
3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non - English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	110.00
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR § 1.17(q)	
1808 180	1808 180	Submission of information Disclosure Statement	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	770.00
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **\$880.00**

SUBMITTED BY

Name (Print/Type) **CHINA A. Webb**
 Signature 

Registration No. (Attorney/Agent) **44,396**

Complete (if applicable)

Telephone **717-355-4954**
 Date **April 5, 2004**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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: 7173553898 # 14/ 18

APR 05 2004

Atty. Docket No. 13935-NN016

OFFICIAL

PATENT APPLICATIONIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	
Mohammad J. ARSHAD, ET AL.)	Examiner: Vernal Brown
	:	
Appln. No. 09/752,009)	Art Unit: 2635
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Title: TRANSPONDER COMMUN-)	April 5, 2004
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SYSTEM FOR A VEHICLE)	

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST FOR CONSIDERATION OF PREVIOUSLY FILED INFORMATION
DISCLOSURE STATEMENT

Dear Sir:

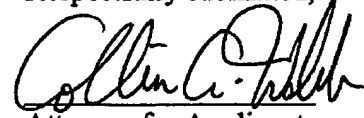
Applicants filed an Information Disclosure Statement on November 14, 2003, well before the mailing date of the December 5, 2003, Office Action. However, the Office Action does not indicate that the Information Disclosure Statement has been received and considered.

Therefore, Applicants request that the Examiner indicate his consideration of the Information Disclosure Statement by initialing the row containing the cite for each document on the Form PTO/SB/08a, which was attached to the Information Disclosure Statement, and signing and dating the Form.

Applicants' undersigned attorney may be reached in our New Holland,
Pennsylvania office by telephone at (717) 355-4954.

CNH America LLC
Intellectual Property Law Dept.
Mail Stop 641
500 Diller Avenue
P.O. Box 1895
New Holland, Pennsylvania 17557-0903

Respectfully submitted,



Attorney for Applicants
Collin A. Webb
Reg. No. 44,396